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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | |
|--|--|--|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | | Application Number 10/053,514 Filing Date October 25, 2001 First Named Inventor Rebecca Frana-Guthrie Group Art Unit _____ Examiner Name _____ Total Number of Pages in This Submission 29 Attorney Docket Number 0212-0001 |
|--|--|--|

ENCLOSURES (check all that apply)

| | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Declaration and Power of Attorney Return addressed postcard |
| Remarks <div style="border: 1px solid black; height: 40px; width: 100%;"></div> | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|--|------------------------|
| Firm or Individual name | Richard P. Beem |
| Signature | |
| Date | April 22, 2002 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **04/22/02**

| | | |
|------------------------------|------------------------|-----------------------------------|
| Typed or printed name | Richard P. Beem | Date April 22, 2002 |
| Signature | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

| | |
|--------------------------------|------------------|
| TOTAL AMOUNT OF PAYMENT | (\\$) 870 |
|--------------------------------|------------------|

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | 10/053,514 |
| Filing Date | October 25, 2001 |
| First Named Inventor | Rebecca Frana-Guthrie |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | 0212-0001 |

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

| | |
|------------------------|-------------------|
| Deposit Account Number | 502195 |
| Deposit Account Name | Beem Patent Group |

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|-----------------------|---------------|------------------------|----------|
| Fee Code (\$) | Fee Code (\$) | | |
| 101 740 | 201 370 | Utility filing fee | 740 |
| 106 330 | 206 165 | Design filing fee | |
| 107 510 | 207 255 | Plant filing fee | |
| 108 740 | 208 370 | Reissue filing fee | |
| 114 160 | 214 80 | Provisional filing fee | |
| SUBTOTAL (1) (| |) (\\$) 740 | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
| 8 | -20** = 0 | | x | = 0 | 0 |
| 3 | -3** = 0 | | x | = 0 | 0 |
| | | | | | |

| Large Entity | Small Entity | Fee Description | Fee Paid |
|-----------------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) | | |
| 103 18 | 203 9 | Claims in excess of 20 | |
| 102 84 | 202 42 | Independent claims in excess of 3 | |
| 104 280 | 204 140 | Multiple dependent claim, if not paid | |
| 109 84 | 209 42 | ** Reissue independent claims over original patent | |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) (| |) (\\$) 0 | |

***or number previously paid, if greater; For Reissues, see above*

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|-----------------------------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) | | |
| 105 130 | 205 65 | Surcharge - late filing fee or oath | 130 |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 400 | 216 200 | Extension for reply within second month | |
| 117 920 | 217 460 | Extension for reply within third month | |
| 118 1,440 | 218 720 | Extension for reply within fourth month | |
| 128 1,960 | 228 980 | Extension for reply within fifth month | |
| 119 320 | 219 160 | Notice of Appeal | |
| 120 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 280 | 221 140 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,280 | 241 640 | Petition to revive - unintentional | |
| 142 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 460 | 243 230 | Design issue fee | |
| 144 620 | 244 310 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | |
| *Reduced by Basic Filing Fee Paid | | SUBTOTAL (3) (\\$) 130 | |

| SUBMITTED BY | Complete if applicable |
|-------------------|------------------------|
| Name (Print/Type) | Richard P. Beem |
| Signature | <i>Richard P. Beem</i> |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Rebecca Frana-Guthrie)
Title: Cooling package for agricultural)
 combine)
Serial No: 10/053,514)
Filing Date: October 25, 2001)
Group:)
Examiner: To be assigned)
)
)

Certificate of First Class Mailing

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Signed: *Richard P. Beem*
Richard P. Beem

Dated: April 22, 2002

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

RESPONSE TO NOTICE OF MISSING PARTS

Sir,

We have enclosed the formal documents to complete the filing of utility patent application SN 10/053,514. This response is timely, within two months of the date of the Notice to File Missing Parts, dated 03/11/02. The documents included with this response are the following:

1. Notice to File Missing Parts of Application
2. Application for U.S. Patent - Declaration and Power of Attorney
3. Fee Transmittal with fee payment of \$870.00 including:
statutory basic filing fee for a non-small entity of \$740.00
fee for filing the oath or declaration late of \$130.00

The following documents are also submitted herewith,

1. Information Disclosure Statement by Applicant (37 CFR 1.97, submitted before the mailing of a first Office action on the merits)
2. Copies of the Cited References for the Information Disclosure Statement

If there are any charges in connection with the prosecution of this application that are not otherwise paid, please charge the deposit account #502195 for Beem Patent Group.

Respectfully submitted,

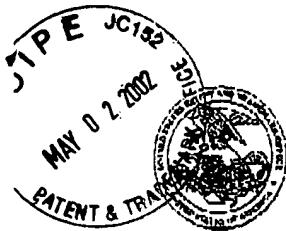
Dated this 22nd day of April, 2002.

Richard P. Beem

Richard P. Beem
Reg. No. 32,961
135 S. LaSalle Street,
Suite 3600
Chicago IL 60603-4110
Tel. (312) 201-0011

04/15/02 11:37

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Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|---------------------------|------------------------|
| 10/053,514 | 10/25/2001 | Rebecca Ann Frana-Guthrie | 0212-0001 |

CONFIRMATION NO. 1677

FORMALITIES LETTER

X S

OC000000007616614*

Richard P. Beem
Beem Patent Group
135 S. LaSalle Street, Suite 3600
Chicago, IL 60603-4110

Date Mailed: 03/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

A copy of this notice MUST be returned with the reply.

D. Durham
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/03/2002 YPOLITE1 00000089 10053514

01 FC:101
02 FC:105

740.00 0P
130.00 0P